



08-07-01

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Section 8  
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PATENT  
EXPRESS MAIL NO. EP841974785US  
Attorney Docket No. P9520  
Client/Matter No. 46234.0075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Robert F. Baugh, et al.

Serial No.: 09/832,729

Filed: April 9, 2001

For: Autologous Platelet Gel Spray  
Delivery System

Group Art Unit: 1723

Examiner: Not Yet Accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Copy of Notice to File Missing Parts;
2. Declaration for Utility Patent Application;
3. Assignment (6 pages);
4. Recordation Form Cover Sheet
5. Fee Transmittal Form (in duplicate);
6. Check in the amount of \$170.00 (\$40 recordation fee  
and \$130 late filing fee); and
7. Return postcard

relating to the above application, were deposited as "Express Mail", Mailing Label No. 841974785US, with the United States Postal Service, addressed to Box MISSING PARTS, The Assistant Commissioner for Patents, Washington, D.C., 20231

August 6, 2001  
Date

August 10, 2001  
Date

Sarah O'Rourke  
Mailer

Sarah O'Rourke  
Sarah O'Rourke, Reg. No. 41,226  
HOGAN & HARTSON LLP  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(720) 406-5385 Tel  
(720) 406-5301 Fax

**FEE TRANSMITTAL**  
AUG 06 2001 for FY 2001

		Complete if Known	
Application Number		09/833,234	
Filing Date		April 9, 2001	
First Named Inventor		Robert F. Baugh	
Examiner Name		Not Yet Accorded	
Group / Art Unit		1724	
Attorney Docket No.		P9528	
TOTAL AMOUNT OF PAYMENT (\$)		(\$ 170.00)	

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  50-1123</p> <p>Deposit Account Number</p> <p>Deposit Account Name Hogan &amp; Hartson L.L.P.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge – late filing fee or oath</td><td>130.00</td></tr> <tr><td>50</td><td>25</td><td>Surcharge – late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>110</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>1,240</td><td>620</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>1,240</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>440</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>600</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>240</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>710</td><td>355</td><td>Request for Continued Examination Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="2">Other fee (specify)</td><td colspan="2"></td></tr> <tr> <td colspan="2">SUBTOTAL (1) (\$ 00)</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">2. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)		Sarah O'Rourke	
Signature		Registration No. (Attorney/Agent)	41,226
		Telephone	(720) 406-5385
		Date	Aug. 6, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,729	04/09/2001	Robert F. Baugh	P9520

CONFIRMATION NO. 9763

## FORMALITIES LETTER



\*OC000000006160827\*

Steven C. Petersen  
 Hogan & Hartson, LLP  
 Suite 1500  
 1200 17th Street  
 Denver, CO 80202

Date Mailed: 06/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Spaine*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/03/2001 KZENDIE 00000050 09833279  
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